

Li, Alan (Xiang) Shanghai

Corporate / Merger & Acquisition

Compliance & Risk Control

Dispute Resolution

Life Science & Healthcare

Environment, Social & Governance (ESG)



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Practice Areas

Alan Li and his team primarily specialize in corporate compliance management, compliance investigations and supervision, corporate governance, and commercial dispute resolution. Alan Li is dedicated to the integration of corporate governance with compliance management, adhering to the principle that “legal compliance serves the business development”, Alan Li has successfully assisted clients in completing multiple complicated projects through innovative solutions. Alan Li has provided high-quality legal services in areas such as corporate compliance management and long-term legal counsel for numerous well-known state-owned enterprises, multinational companies, domestic private enterprises, etc.

Recent Representative Cases:

- Conducting internal compliance investigations for clients (in line with FCPA, Internal Control, Tax, and other requirements).
- Assisting clients in establishing compliance management systems and supporting ISO certification implementation (including developing specialized compliance frameworks such as Sales Compliance and Anti-bribery Compliance).
- Developing and updating compliance management policies for clients.
- Providing ESG-related legal services (System Design, Information Disclosure, Performance Improvement, and ESG training, etc.).
- Advising multiple enterprises on supply chain compliance management policies (Codes of Conduct for Business Partners, Human Rights Protection, Anti-modern Slavery Policies, and Third-party Due Diligence Policies, etc.).
- Providing legal due diligence services on supply chain third-party compliance matters.
- Conducting legal due diligence and agreement drafting services for clients in industrial M&A and investment/financing projects.
- Assisting clients with day-to-day compliance management (Compliance Policies, Compliance Training, and Compliance Consulting, etc.).
- Advising on operational compliance matters (Data Compliance, Personal Information Protection, Market Access, Marketing Compliance, and Trade Compliance, etc.).
- Providing corporate governance legal services (Corporate Governance, Shareholding Structure, Shareholder Risk Control, Compliance of Directors and Senior Executives, ESOP, etc.).
- Representing corporate clients in commercial dispute resolution.
- Acting as long-term legal counsel to numerous enterprises.

Work Experience

Alan Li was a partner at Zhong Lun Law Firm (Shanghai) and Grandall Law Firm (Shanghai).

Admission

Admitted in the PRC

Education

MBA, Fudan University
LL.M., Durham University
LL.B., East China University of Political Science and Law

Associations and Memberships

- Member of the Corporate Compliance Committee of the Shanghai Bar Association
- Examiner of the Internship Assessment Interview for Applicants to Practice Law of the Shanghai Bar Association
- Executive Director of the Youth Committee of Xuhui District Federation of Overseas Chinese
- Deputy Director of Xuhui District New Social Stratum Association Modern Service Professional Committee

Lectures

- ESG and Compliance Governance; Lawyers Handling ESG Business: Corporate Governance - Lecture at East China University of Political Science and Law Continuing Education Institute
- The Board of Directors of A-Shares Listed Companies and the Improvement of ESG Performance, Stakeholders and Corporate Value - Online Course for Shenzhen Stock Exchange Listed Companies
- Interpreting Company's MSCI ESG Rating from the Legal Perspective - Shanghai Jiao Tong University Antai ESG and Sustainable Development Salon
- Exploring the ESG Landscape - 2023 ASEAN-PLUS Group Conference
- Improving MSCI Rating Performance: Business Ethics Management - Changzhou New Energy Industry Investment, Compliance and Legal Risk Control Forum
- ESG and Corporate International Development - 2023 Impact Climate Innovation Conference
- Compliance Obligations of Foreign Enterprises' Directors, Supervisors, and Senior Management under the New Company Law - 2024 Grandall New Company Law Forum Series of Events
- New Opportunities and Challenges in ESG Development for Enterprises in China - New Zealand Chamber of Commerce
- ESG Information Disclosure and Ratings - 2024 SIE Global Supply Chain Forum
- Management of Material ESG Issues in the Tourism Industry - 2024 ITB CHINA
- Interview Techniques in Fraud Investigations - Lawyer Practice Course of the Shanghai Bar Association
- Fraud Investigations in the Era of Instant Messaging - WELEGAL Corporate Compliance and Anti-Fraud in the New Environment

Languages

Chinese, English