

# Wang, Kevin (Ke) Shanghai

Capital Market

Private Equity & Investment Funds

Corporate / Merger & Acquisition

Compliance & Risk Control

Dispute Resolution



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## Practice Areas

Dr. Kevin Wang specializes in compliance and risk control, capital markets, PE/VC, M&A, and dispute resolution. Kevin leads financial regulation and compliance service and advises clients on market access to China capital market, securities regulation, PE investment, Fintech, non-banking payment regulation, and compliance matters. He helps clients design and improve their compliance programs and assists clients to prepare for government investigations, regulatory inquiries, and inspections, including those cases that could lead to transnational disputes in relation to sanctions, anti-bribery, anti-money laundering, and data law issues.

## Work Experience

Kevin was admitted in the PRC in 1999. He joined Global Law Office as a partner in 2022. Prior to it, he worked for Goldman Sachs as an Executive Director in its Global Compliance Division for more than eight years, leading its China Financial Crime Compliance team to cover investment banking, securities trading, asset management, commodities, PE investment, alternative investment, and other business lines.

Kevin advised the firm on how to navigate the complex regulatory systems within the evolving geo-political context and how to make a balance among different regulatory expectations across multiple jurisdictions. Kevin also led sanctions compliance program for two years for the firm's APAC business.

Kevin also worked for Haitong Securities Co., Ltd., a leading Chinese securities firm, for about six years before he joined Goldman Sachs. As a compliance officer, he advised the firm on securities regulation issues and later led the firm's regulatory affair function covering all matters related to risk and compliance reporting, internal control effectiveness evaluation, regulatory inquiries, inspections, and investigations.

## Admission

Admitted in the PRC

## Education

2003 – 2008 Temple University, Department of Criminal Justice, Ph.D.

1996 – 1999 Nanjing University School of Law, Master in Law

1991 – 1995 Nanjing University, Department of Earth Science, B.S.

## Publications

2010. Securities Fraud, 1996-2001 - Incentive Pay, Governance, and Class Action Lawsuits, El Paso, TX: LFB Scholarly Publishing LLC.

## Languages

Chinese, English

## Insights

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GLO Contributed to Chambers Corporate Governance 2025

2025-07-04

FDA Proposed AI Rules in Drug and Biologics Product Development

2025-04-08

Executive Liabilities and Corporate Governance in China

2024-11-21

China's New Laws Call for National Security Compliance

2023-07-11

Wolfsberg Group Updated Due Diligence Questionnaires for Financial Crime Controls

2023-03-01

A Compliance Roadmap to China Cross-Border Data Transfer

2022-06-24

## News updates

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Dr. Kevin Wang Delivered a Speech at 2025 Compliance Conference

2025-11-25

Dr. Kevin Wang Delivered a Speech at 2024 Compliance Conference

2024-11-21

China Supreme People's Court Clarifies Issues in Financial Dispute Trials

2023-02-14